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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Duanfeng He

Examiner: Jeffrey Olsen

Serial No.:

10/791,018

Group Art Unit: 2876

Filed:

March 2, 2003

Docket: 1400-45 (1602)

Dated:

September 21, 2005

For:

SYSTEM AND METHOD FOR ILLUMINATING AND READING OPTICAL

CODES IMPRINTED OR DISPLAYED ON REFLECTIVE SURFACES

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO/SB/08A is brought to the attention of the Examiner. Copies of the U.S. listed items are not enclosed as the Office hereby waives the requirement under 37 CFR 1.98.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items

CERTIFICATE OF MAILING UNDER 37 C.F.R. '1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service in an envelope as addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

Dated: September 21, 2005

Michelle Nolan

listed are identified in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible. The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. ' 1.56(b).

- [] This information disclosure statement is being filed within three (3) months of the filing date of this application.
- [] This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. '1.491 in an international application.
- [X] To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.
- Enclosed herewith is a certificate under 37 C.F.R. ' 1.97(e)(1).
- [] Enclosed herewith is a petition under 37 C.F.R. ' 1.97(d)(ii).

 [] Enclosed by check is the petition fee of \$130.00. (37 C.F.R. '1.17(i)(1))
 - [] Please charge the \$130.00 petition fee to Deposit Account No. 50-2140.
- [] Enclosed by check is the \$180.00 fee required by 37 C.F.R. ' 1.17(p).
- Please charge the \$180.00 fee required by 37 C.F.R. '1.17(p) to Deposit Account No. 50-2140.
- [X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. '1.16 and/or '1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to

Deposit Account <u>50-2140</u>. Also, in the event any extensions of time for responding and/or a petition under 37 C.F.R. '1.17(i)(i) are required for the pending application(s), please treat this paper as a petition to extend the time and/or enter this Information Disclosure Statement as required and charge Deposit Account No. <u>50-2140</u> therefore. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

The claims of the application as now presented are believed to be patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of this application is respectfully requested.

Respectfully submitted,

George Likourezos Reg. No. 40,067

Agent for Applicant(s)

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PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/791,018		
Filing Date	March 2, 2005		
First Named Inventor	Duanfeng He		
Art Unit	2876		
Examiner Name	Jeffrey Olsen		
Attorney Docket Number	1400-45		

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 2002/0000472 A1	1/3/2002	Hattersley et al.	
		US-		1	
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		US-			

Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
		WO 01/65469 A1	9/7/2001			
		WO 92/16909	10/1/1992			
		International Search Report	8/29/2005			L
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Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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